302747

**kg**orney's Docket No.

074451.P041X1D

PATENT

262

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in Re Patent Application of:

Keith et al.

Application No.: 09/236,753

Filed: January 25, 1999

For: COMPRESSION AND DECOMPRESSION WITH WAVELET STYLE AND BINARY STYLE INCLUDING QUANTIZATION BY DEVICE-DEPENDENT PARSER

Examiner: Unknown

Art Unit: 2721

RECEIVED

JAN 2 9 2003

**Technology Center 2600** 

Commissioner for Patents Washington, DC 20231

## **INFORMATION DISCLOSURE STATEMENT**

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

\_\_\_\_ 37 C.F.R. §1.97(b); OR

	37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is <u>one</u> of the following:						
	A statement pursuant to 37 C.F.R. §1.97(e) or						
	A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p); OR						
X	37 C.F.R. §1.97(d):						
new made and other side	STATEMENT UNDER 37 C.F.R. §1.97(e)_I hereby certify:						
	Inform from a not m	each item of information contained in the accompanying mation Disclosure Statement was cited in a communication a foreign patent office in a counterpart foreign application core than three months prior to the filing of the Information soure Statement, OR					
	Inform from a and, t reason Inform design	That no item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned attorney after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in §1.56(c) more than three months prior to the filing the Information Disclosure Statement.					
	A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement is enclosed.						
If there are any additional charges, please charge Deposit Account No. 02-2666. Respectfully submitted,							
	В	LAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP					
Dated: 12400 Wilshire		Michael J. Mallie, Reg. No. 36,591					
Seventh Floor Los Angeles, C (408) 720-8300	A 90025-1026	I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Commissioner for Patents, Washington, DC 20231 on  Name:  Alice Tam					

Substitute for Form 1449A/PTO (Modified)				Complete if Known				
INFORMATION DISCLOSURE				Application Number 09/236,753				
					Filing Date January 25, 1999		1999	
STATEMENT BY APPLICANT				First Named Inventor: Keith et al.				
STATEMENT BY APPLICANT					Group Art Unit 2721			
JAN 2 7 2003 \$				Examiner Name Unknown		Unknown		
Sheet	1	JAN E I LOSS	5	1	Attorney Docket Number			1X1D
		Francis Contract		U.S. PATE	NT DOCUMENTS	ms 4	<u>- — - — ,                                    </u>	
Exam.	Cite	D:S: Parent I	Document	Name of Pat	tentee or Applicant		Publication of	Pages, Columns, Lines,
Initial*	No. <sup>1</sup>	Number Code <sup>2</sup>	Kind (If known)	of Cite	d Document	Cited Document MM-DD-YYYY		Where Relevant Passages or Relevant
		0000	T (11 K110W11)					Figures Appear
					<u>.</u>			
							-	
								FACILIED
							H	ECEIVED
							J	AN 2 9 2003
							Techi	nology Center 2600
			-				100110	-
			-					
	. — "	<u> </u>	I	1		<u> </u>		

Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			FOREIGN PATENT DOCUMEN  Name of Patentee or Applicant  of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	Α	WO	93/10,634		Yale University	11-28-1991		
	В	WO	91/18,361		General Electric Company	05-27-1993		
								<u> </u>
	ļ							

Examiner	Date Considered	
Signature		

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.